

# Straumann Guarantee® Questionnaire

File Number (if known)

Please complete this form with as much detail as possible; **missing information will delay processing.**

If appropriate, provide the explanted product(s) in sterile condition and any relevant radiographs (not returned unless requested). Attach sterilized product to this form or write the patient identifier and File Number (if known) on product package.

## GUARANTEE CONDITIONS

- Products must be returned within **90 days** of the date of the event or device removal and **Service duration** must be **within Guarantee Term limits**.
- Metal or ceramic items must be **autoclaved** and **marked sterile** by either an autoclave indicator or hand written; plastic items must be **cold sterilized**.
- Products must be shipped in **protective packaging** using a method that allows for shipment **tracking**.
- Only **one replacement implant per day per tooth site** qualifies for replacement under the Straumann Guarantee.

## CUSTOMER INFORMATION

### Customer Details

Facility Name \_\_\_\_\_  
Clinician Name \_\_\_\_\_  
Contact Phone \_\_\_\_\_  
Contact E-Mail \_\_\_\_\_

Sold to Account #: \_\_\_\_\_  
Address 1 \_\_\_\_\_  
Address 2 \_\_\_\_\_  
Address 3 \_\_\_\_\_  
City \_\_\_\_\_  
State/Prov \_\_\_\_\_ Postal Code \_\_\_\_\_

Check if same as Sold To

Ship to Account #: \_\_\_\_\_  
Address 1 \_\_\_\_\_  
Address 2 \_\_\_\_\_  
Address 3 \_\_\_\_\_  
City \_\_\_\_\_  
State/Prov \_\_\_\_\_ Postal Code \_\_\_\_\_

## PATIENT INFORMATION (required for implants)

### Patient Detail (for privacy **DO NOT** use patient's name)

Patient ID \_\_\_\_\_  
Date of Birth \_\_\_\_\_  
Gender:  Female  Male  
Smoker?  No  Yes

### History

- |                                                 |                                                        |                                                     |
|-------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------|
| <input type="checkbox"/> Psychological disorder | <input type="checkbox"/> Blood coagulation disorder    | <input type="checkbox"/> Illness requiring steroids |
| <input type="checkbox"/> Lymphatic disorder     | <input type="checkbox"/> Untreated endocrine illness   | <input type="checkbox"/> Coincident chemotherapy    |
| <input type="checkbox"/> Drug or alcohol abuse  | <input type="checkbox"/> Diabetes Mellitus             | <input type="checkbox"/> Xerostomia                 |
| <input type="checkbox"/> Compromised immunity   | <input type="checkbox"/> Radiation Tx (head/neck area) | <input type="checkbox"/> No significant findings    |
- Relevant allergies: \_\_\_\_\_ Relevant diseases: \_\_\_\_\_

## PRODUCT INFORMATION \* Replacements cannot be provided without this information

| Article (REF) Number* | Lot/Serial Number | Placement Date* | Event/Removal Date* | Site (FDI) |
|-----------------------|-------------------|-----------------|---------------------|------------|
| _____                 | _____             | _____           | _____               | _____      |
| _____                 | _____             | _____           | _____               | _____      |
| _____                 | _____             | _____           | _____               | _____      |

### Straumann Use Only

- Product Returned?   
Product Lost?   
Product Sterile?

Roxidol implant fracture claims **must** be accompanied by either the restoration or restoration details - include here.

Check if you previously notified Straumann Regulatory about this event.  Check if the product is from Consigned Inventory

Replace with same device(s)?  Yes  No; specify Article (REF) No(s): \_\_\_\_\_

For Customized Abutments, was product created via:  Own Scan/CAD  Scan Service  Scan & Shape Service Project No.: \_\_\_\_\_

## SURGERY INFORMATION - IMPLANT RELATED (required for implants)

Placement Method:  Manually  Handpiece adapter

If implant was placed and removed on same day, was another implant successfully placed at site during surgery?

Yes  No – Why not? \_\_\_\_\_

If you experienced difficulty inserting an implant with a pre-mounted transfer piece when did this occur (check one)?

N/A  Implant removal from vial  Implant insertion into bone  Transfer piece removal  Other: \_\_\_\_\_

At the time of surgery, were any of the following conditions present (check all that apply)?

Periodontal disease  Local infection  Subacute chronic osteitis  Diseased mucous membrane  Complication in site prep

### Other Factors:

|                                                                                                                                      |                                                                                                                       |                                                                                                                      |
|--------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|
| Bone quality (type): <input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV | Was primary stability achieved? <input type="checkbox"/> N/A <input type="checkbox"/> No <input type="checkbox"/> Yes |                                                                                                                      |
| Was site tapped? <input type="checkbox"/> Yes <input type="checkbox"/> No                                                            | Bone-level profile drill used? <input type="checkbox"/> Yes <input type="checkbox"/> No                               | Was osseointegration achieved? <input type="checkbox"/> N/A <input type="checkbox"/> No <input type="checkbox"/> Yes |
| Was holding key used? <input type="checkbox"/> Yes <input type="checkbox"/> No                                                       | Tissue-level profile drill used? <input type="checkbox"/> Yes <input type="checkbox"/> No                             | Was implant covered with bone? <input type="checkbox"/> N/A <input type="checkbox"/> No <input type="checkbox"/> Yes |

## SURGERY INFORMATION - OTHER DEVICES (required for Implant and Regenerative products)

Was augmentation performed during surgery?  No  Sinus  Ridge  Other Material used? \_\_\_\_\_

Was GTR membrane used?  No  Resorbable  Non-Resorbable Material used? \_\_\_\_\_

Was a Straumann Biomaterial product used?  No  Emdogain  AlloGraft  XenoGraft  BoneCeramic Other: \_\_\_\_\_

**EVENT INFORMATION** (required for Implant and Biomaterial products)

Assessment of hygiene around implant:  Excellent  Good  Fair  Poor

Why do you believe the event occurred:

Were any of the following conditions involved in the event (check all that apply)?

- Trauma/Accident  Implant fracture  Poor bone quality/quantity
 Overheating of bone  Bruxism  Previous bone augmentation
 Peri-implantitis  Nerve encroachment  Adjacent to endodontic tooth
 Sinus perforation  Tongue pressure  Biomechanical overload
 Infection  Immediate extraction site  Bone resorption

At the time of the event or implant failure/removal, was there (check all that apply)?

- Pain  Increased Sensitivity  Numbness
 Mobility  Abscess  Inflammation
 Bleeding  Swelling  Hypersensitivity
 Fistula  Asymptomatic  Other: \_\_\_\_\_

Empty box for event description.

Was the prosthesis fitted?  No  Yes For multiple unit restorations (i.e., bridges and dentures), how many implants supported the restoration: \_\_\_\_\_

If the implant is not being removed, is there evidence of the following (check all that apply)?

- Bone loss; Extent (mm): \_\_\_\_\_  Dehiscence  Fenestration  Peri-implantitis  Other: \_\_\_\_\_

**PROSTHESIS INFORMATION** (required for Abutment, Straumann CARES® Digital Solutions restoration and Roxolid fracture)

Type of prosthesis?  Crown  Bridge  RPD (upper)  RPD (lower)  Full (upper)  Full (lower)  Other: \_\_\_\_\_

Date abutment was installed \_\_\_\_\_ Date temporary restoration installed \_\_\_\_\_

Date abutment was removed \_\_\_\_\_ Date final restoration installed \_\_\_\_\_

Torque Control Device used  Unknown  No  Yes --- Torque Applied (N-cm): \_\_\_\_\_

SCDS Project No.: \_\_\_\_\_

Was the recall appointment schedule followed?  Yes  No Description of event: \_\_\_\_\_

Information about fit:  Too wide  Too narrow  Rocking  Short preline  Marginal gap  Other: \_\_\_\_\_

Where issue occurred:  Model  Mouth  Both  Other: \_\_\_\_\_

What was scanned?:  Abutment  Plaster  Wax-up  Acrylic  Intra-oral/Jaw  Metal Was scan spray used?  Yes  No

When did fracture occur?  Cementation  As delivered  After final  During prep  After provisional  During try-in

Parts swallowed/inhaled?  N/A  Swallowed  Inhaled Where did fracture occur?  Framework  Veneer  Both

Problem with framework:  Spotted Shading  Finishing (surface)  Wall thickness too thin  Incorrect shading Framework processed?  Yes  No

Problem with veneering:  Bubbles  Cracks  Unusual oxide layer  Other

When problem occurred:  Oxidization fire  Opaque fire  1st dentin fire  2nd dentin fire  Lustre fire  Other

Were these products used?  Binder  Pre-opaque  Opaque paste  Opaque powder  None of these

Ceramic material used? \_\_\_\_\_ Firing oven cleaned/calibrated regularly?  No  Yes; latest:

**INSTRUMENT INFORMATION** (required for Surgical Instruments)

Be sure to thoroughly clean instruments and reassess prior to returning; most instances of poor instrument performance are due to retained contamination.

Approximate number of uses (cutting tools)?  Initial use  2-5  6-10  10-15  More than 15

Type of cleaning method used?  Manual  Ultrasonic  Thermodisinfection  Other: \_\_\_\_\_

Type of sterilization method used?  Autoclave  Dry heat  Chemiclave  Other: \_\_\_\_\_

Reason for return?  Rust  Other: \_\_\_\_\_

NOTICE Evaluation of ratchet/torque devices occurs in Europe. Replace or return decisions take longer than for other devices.

**SUBMISSION INFORMATION**

Return the following in protective packaging (padded mailer) using a method that allows for shipment tracking:

- Explanted product(s) in sterile condition (devices not sterilized do not qualify for replacement)
- Printed copy of Pages 1 and 2 of completed Straumann Guarantee Questionnaire (even if e-mailed)
- Relevant radiographs (these will not be returned unless specifically requested, please send copies).

Send shipment to: Straumann Canada Limited ATTN: Regulatory Affairs 3375 North Service Road, Units B12-14 Burlington, ON L7N 3G2

Questions? Phone: 800/363 4024 ext. 7410 Fax: 978/747 0023 E-Mail: reg\_complaint@straumann.com

Upon receipt, Straumann will review your feedback, assess the returned product and determine whether the product meets the conditions for replacement under the Straumann Guarantee. When all necessary information and product is received, replacement product can be provided in a timely manner.

Straumann Internal Use Only
 CSN - back office activity
 Regulatory Product Complaint
 PSO  Information incomplete
 ASR  Standard / No Report
Straumann RA Signature Date

**SIGNATURE** (required - may be electronic)

By signing below I am acknowledging that I understand the terms and conditions of the Straumann Guarantee and that the information being provided is truthful and accurate.





Clinician Name (print): \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Page 2 of 3

**STRAUMANN GUARANTEE** (valid as of October 1, 2016)

**1. Guarantee beneficiary and scope**

This guarantee (the “Straumann Guarantee” as defined below) from Straumann Canada Limited, Burlington, ON (“Straumann”) applies to the products listed below and in favor of the attending physician/dentist only (the “User”). Third parties, particularly patients or intermediate suppliers, may not derive any rights from this Straumann Guarantee. The Straumann Guarantee covers the replacement of products of the Straumann Dental Implant System SDIS and certain limited Straumann CARES products (the “Straumann Products”) as defined in Section 2. The Straumann Guarantee only covers the replacement of Straumann Products and not any associated costs, including but not limited to chair time, lab fees and any other associated treatment.

**2. Straumann Products covered by the Straumann Guarantee\***

|                                                                                                                    | Implant                                                                                                                                                                                                                               | Abutment attached to an implant                                                                  | Tooth- and implant-supported restoration**                                          |
|--------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| <br>5 Year Guarantee              | –                                                                                                                                                                                                                                     | Replacement with equivalent ceramic abutment including ceramic screw-retained bars and bridges** | Replacement with equivalent ceramic restoration***                                  |
| <br>10 Year Guarantee             | –                                                                                                                                                                                                                                     | Replacement with equivalent metal screw-retained bars and bridges**                              | Replacement with equivalent metal restoration and resin nano ceramic restoration*** |
| <br>Lifetime Guarantee            | Replacement with equivalent implant and equivalent abutment, if finalized.                                                                                                                                                            | Replacement with equivalent metal abutment                                                       | –                                                                                   |
| <br>Roxolid® Lifetime+ Guarantee | Replacement with equivalent implant and equivalent abutment, if finalized. Additionally, for Straumann® Roxolid® Implants a treatment compensation in the amount of \$1,500. if implant fractures (reported after July 31, 2016).**** | –                                                                                                | –                                                                                   |

\* Valid as of October 1, 2016

\*\* Excluding consumable products and retentive products such as ball anchors and Locator. (Locator is a trademark of Zest Anchor LLC)

\*\*\* Including Straumann® CARES® copings, full contour crowns and bridges. EXCLUDING all other products offered by Straumann, particularly Straumann® CARES®, inlays, onlays, veneers, partial crowns and Straumann® CARES® Guided Surgery products.

\*\*\*\*Excludes 3.3 mm diameter implants placed in the molar region. Abutment, abutment lot/serial number or CARES project number must be provided to confirm only Straumann original products have been used.

**3. Straumann Guarantee conditions**

Straumann hereby guarantees that, if any Straumann Product is defective as a result of a failure of the material strength and stability of the Straumann Product during the guarantee periods set out in Section 2, Straumann will replace the Straumann Product with the same or substantially equivalent product as set forth in Section 2. The guarantee periods above commence at the time of treatment with a Straumann Product by the User. Provided however that the following guarantee conditions are individually and collectively met and documented:

- 3.1 Straumann Products have been used exclusively and not in combination with any other manufacturer’s products;
- 3.2 Return of the Straumann Products in sterilized condition (or disinfected if delivered as such);
- 3.3 Compliance with and application of Straumann’s instructions (in the instructions for use, among others) valid at the time of treatment as well as recognized dental procedures, during and after the treatment;
- 3.4 Good oral hygiene of the patient as monitored by the User;
- 3.5 No guarantee case resulting from an accident, a trauma or any other damage caused by the patient or a third party;
- 3.6 Filing of a completed and signed guarantee questionnaire not later than 90 days after a guarantee case arises;
- 3.7 For customized Straumann Products the User shall provide Straumann with the design data.
- 3.8 Special requirements for the “Roxolid Lifetime Plus Guarantee: The complaint case must be submitted and approved for product replacement first. The Roxolid Lifetime Plus Guarantee claim must be submitted online (via eShop) with restoration details within 6 months after fracture.

**4. Limits and limitations**

This Straumann Guarantee is the only guarantee provided by Straumann and shall apply in addition to the warranty rights conferred under the sales agreement. The User remains free to claim rights against his supplier. STRAUMANN HEREBY DISCLAIMS ANY OTHER WARRANTIES, EXPRESS OR IMPLIED AND STRAUMANN HEREBY EXCLUDES ANY LIABILITY FOR LOST EARNINGS AND DIRECT OR INDIRECT DAMAGES AS WELL AS COLLATERAL AND CONSEQUENTIAL DAMAGES, DIRECTLY OR INDIRECTLY RELATED TO STRAUMANN PRODUCTS, SERVICES OR INFORMATION.

**5. Guarantee territory**

This Straumann Guarantee applies worldwide to Straumann Products sold by a Straumann affiliated company or an official distributor of Straumann.

**6. Modification or termination**

Straumann may modify or terminate this Straumann Guarantee at any time in whole or in part. Changes to or the termination of the Straumann Guarantee will not affect the guarantee given for Straumann Products installed prior to the date of the change or termination.

**CONTACTS**

Should you have any questions please contact:  
 Your local Straumann Territory Manager or  
 Straumann Regulatory Affairs.

Straumann Canada Limited  
**ATTN: Regulatory Affairs**  
 3375 North Service Road, Units B12-14  
 Burlington, ON L7N 3G2

E-Mail: [reg\\_complaint@straumann.com](mailto:reg_complaint@straumann.com)  
 Phone: 800/363 4024  
 Fax: 978/747 0023

**RETAIN FOR YOUR RECORDS**

|              |             |                 |             |             |
|--------------|-------------|-----------------|-------------|-------------|
| File Number: | Patient ID: | Article Number: | Lot Number: | Event Date: |
|--------------|-------------|-----------------|-------------|-------------|

Straumann Canada Limited  
**ATTN: Regulatory Affairs**  
3375 North Service Road  
Units B12-14  
Burlington, ON L7N 3G2



Package Address - Clip and Tape to Package

### Did you remember to...

- Verify the terms and conditions
- Complete the Straumann Guarantee Questionnaire as completely as possible
- Include your Straumann Account Number(s) on the Questionnaire
- Sterilize the product and mark it as STERILE
- Attach the Product to the Questionnaire or write the Patient ID on the container
- Have the Clinician sign and date Page 2
- Send Product and Questionnaire in protective packaging via a traceable method
- Keep Page 3 for your records